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JUN 25 2007



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/027,020	12/21/2001	Joydeep sen Sarma	112056-0006

CONFIRMATION NO. 1111

FORMALITIES LETTER

OC00000007367523

24267
 CESARI AND MCKENNA, LLP
 88 BLACK FALCON AVENUE
 BOSTON, MA 02210

Date Mailed: 01/25/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

E. Hailu

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

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ATTORNEYS AT LAW
88 BLACK FALCON AVENUE
BOSTON, MASSACHUSETTS 02210

(617) 951-2500

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OF COUNSEL

HEATHER SHAPIRO
PATENT AGENT

TELECOPIER
(617) 951-3927

WEB SITE
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FACSIMILE COVER SHEET

112056-0006

DATE:	June 25, 2007
TOTAL PAGES WITH COVER:	16
TO:	Examiner Yves Dalencourt
FIRM:	Network Appliance
FACSIMILE NUMBER:	(571) 273-8300
TELEPHONE NUMBER:	(571) 272-3998
FROM:	A. Sidney Johnston

COMMENTS:

Attn: Examiner Yves Dalencourt**Re: U.S. Patent Application Serial No. 10/027,020**

Examiner Dalencourt:

The signed Declaration is enclosed, along with the Express-Mail Deposit Sheet, U.S. Post Office Express Mail Receipt, and a copy of the returned Post Card showing that the USPTO received the filing. Thank you for your kind consideration.

A. Sidney Johnston

SPECIAL INSTRUCTIONS:

If you do not receive all pages, or you are not the intended recipient, please contact us at (617) 951-2500 as soon as possible.

N/A DHD

Date Due: 3/25/02

Date Mailed: 3/8/02

Date Filed: December 21, 2001

Inventor(s): Joydeep sen Sarma et al.

Title: System and Method for Transferring Volume Ownership in Networked Storage

File No.: 112056-0006

Serial No.: 10/027,020

Group Art Unit: 2171



Honorable Commissioner of Patents and Trademarks: We are forwarding herewith:

☒ Oath or Declaration (3 pages)☒ Assignment With Recordation Form Cover Sheet (6 pages including Cover Sheet).☒ Information Disclosure Statement☒ Preliminary Amendment☒ Fee Transmittal Letter☒ Check No. 234 for \$170☒ PTO-1449 and Copies of References☒ Formalities Letter

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Date Filed: December 21, 2001
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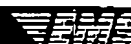
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112056-0006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of:
Joydeep sen Sarma et al.

Serial No.: 10/027,020

Filed: December 21, 2001

For: System and Method for Transferring
Volume Ownership in Networked
Storage

Examiner: Not Yet Assigned

Art Unit: 2171

Cesari and McKenna, LLP
88 Black Falcon Avenue
Boston, MA 02210
March 8, 2002

EXPRESS-MAIL DEPOSIT

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The following papers are being deposited with the United States Postal Service
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FEE TRANSMITTAL **for FY 2002**

Patent fees are subject to annual revision.

Complete if Known

TOTAL AMOUNT OF PAYMENT (\$) 170

Application Number 10/027,020
Filing Date December 21, 2001
First Named Inventor Joydeep Sen Sarma et al.
Examiner Name Not Yet Assigned
Group Art Unit 2171
Attorney Docket No. 112056-0006

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 03-1237
Deposit Account Name Cesari and McKenna, LLP

☒ Charge Any Additional Fee Required Under 37 C.F.R. §§1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:
☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
101	201	740	Utility filing fee	
106	206	330	Design filing fee	
107	207	510	Plant filing fee	
108	208	740	Reissue filing fee	
114	214	160	Provisional filing fee	
SUBTOTAL (1)				0

2. EXTRA CLAIM FEES

Total Claims - 20** = x =
Independent Claims - 3** = x =
Multiple Dependent =

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
103	203	18	Claims in excess of 20	
102	202	84	Independent claims in excess of 3	
104	204	280	Multiple dependent claim, if not paid	
109	209	84	**Reissue independent claims over original patent	
110	210	18	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				0

**For number previously paid, if greater; For Reissues, see below

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	205	130	Fee Surcharge - late filing fee or oath	130
127	227	50	Surcharge - late provisional filing fee or cover sheet	
139	139	130	Non-English Specification	
147	147	2,520	For filing a request for ex parte reexamination	
112	112	920	Requesting publication of SIR prior to Examiner action	
113	113	1,840	Requesting publication of SIR after Examiner action	
119	219	110	Extension for reply within first month	
118	218	400	Extension for reply within second month	
117	217	920	Extension for reply within third month	
116	216	1,440	Extension for reply within fourth month	
128	128	1,960	Extension for reply within fifth month	
119	219	320	Notice of Appeal	
120	220	320	Filing a brief in support of an appeal	
121	221	280	Request for oral hearing	
138	138	1,510	Petition to institute a public use proceeding	
140	240	110	Petition to revive - unavoidable	
141	241	1,280	Petition to revive - unintentional	
142	242	1,280	Utility issue fee (or reissue)	
143	243	460	Design issue fee	
144	244	620	Plant issue fee	
122	122	130	Petitions to the Commissioner	
123	123	60	Processing fee under 37 CFR 1.17(c)	
126	126	180	Submission of Information Disclosure Stmt	
581	581	40	Recording each patent assignment per property (times number of properties)	40
146	246	740	Filing a submission after final rejection (37 CFR 1.128(a))	
149	249	740	For each additional invention to be examined (37 CFR 1.129(b))	
179	279	740	Request for Continued Examination (RCE)	
189	189	900	Request for expedited examination of a design application	

Other fee (specify)

Other fee (specify)

SUBTOTAL (3) (\$) 170

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type) Duane H. Dreger

Signature

Registration No. (Attorney/Agent)

48,836

Complete (if applicable)

Telephone (617) 951-2500

Date March 8, 2002

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